

JC846 U.S. PTO  
06/08/00

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Case Docket No.: 99,267

Date: June 8, 2000

HC531 U.S. PRO  
09/589730

Transmitted herewith for filing is the patent application of:

Inventor: Dale C. Kenison and William G. Zollers, Jr.

For: GROWTH PROMOTING PHARMACEUTICAL IMPLANT

Enclosed are:


- X   Abstract of the Disclosure (1 page) and
- 34  Pages of Specification and Claims
- 1  Sheets of drawings
- X  Information Disclosure Statement
- X  Verified statements to establish small entity status under  
37 C.F.R. 1.9 and 37 C.F.R. 1.27
- X  The filing fee has been calculated as shown below:

				<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
<u>FOR</u>	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE	*****	*****	****	\$ 345	or	****	\$ 690
TOTAL CLAIMS	<u>32</u> - 20 = <u>12</u>		x 9=	\$ <u>108</u>	or	x18=	\$ <u>        </u>
INDEP. CLAIMS	<u>5</u> - 3 = <u>2</u>		x39=	\$ <u>78</u>	or	x78=	\$ <u>        </u>
MULTIPLE DEPENDENT CLAIM PRESENTED	<u>0</u>		+130	\$ <u>0</u>	or	+260=	\$ <u>        </u>
			TOTAL	\$ <u>531</u>	or	TOTAL	\$ <u>        </u>

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 50-1253. A duplicate copy of this sheet is attached.

X Our check No. 1238 is also enclosed to cover, among other items, the above filing fee.

Respectfully submitted,

  
John C. McMahon

JCM:kdc

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Reg. No. 29,415

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
BY SMALL BUSINESS CONCERN

Applicant: Dale C. Kenison and William G. Zollers, Jr.

Serial No.:

Filed:

For: GROWTH PROMOTING PHARMACEUTICAL IMPLANT

I hereby declare that I am an official of a small business concern and am empowered to act on behalf of the concern identified below:

Name of Concern: Ivy Animal Health, Inc.  
Address of Concern: 8857 Bond Street, Overland Park, Kansas  
66214

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. (For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.)

I hereby declare that exclusive rights to the invention have been conveyed to and remain with the above-identified small business concern, or if the rights are not exclusive, then on information and belief, all other rights belong to the following entities, which also on information and belief are small entities as defined in 37 C.F.R. 1.9:

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the

003090"0E468560



VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
BY INVENTOR

Applicant: Dale C. Kenison and William G. Zollers, Jr.

Serial No.:

Filed:

For: GROWTH PROMOTING PHARMACEUTICAL IMPLANT

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the above-entitled invention described in:

- (x) the specification filed herewith.  
( ) application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who, upon knowledge and belief, could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

Name of Concern: Ivy Animal Health, Inc.

Address of Concern: 8857 Bond Street, Overland Park, Kansas 66214

I acknowledge my duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

096930 : DEEDS

Date \_\_\_\_\_

Date June 2, 2000

Dale C. Kenison

Will G. Zollers, Jr.